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WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/029,112         | 10/19/2001          | Jeffrey A. Gariepy    | Q01-1037-US1/11198.62  |

CONFIRMATION NO. 2878

David B. Harrison  
Chief Patent Counsel (Acting)  
Quantum Corporation  
501 Sycamore Drive  
Milpitas, CA 95035

COPY OF PAPERS  
ORIGINALLY FILED

FORMALITIES LETTER



Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$102.
  - \$18 for 1 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 972.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10029112

500283

3/20/2002 JAD001

740.00 CH  
84.00 CH  
18.00 CH  
130.00 CH

1 FC:101  
2 FC:102  
3 FC:103  
4 FC:105

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
Q01-1037

In Re Application Of: GARIPEY ET AL.

COPY OF PAPERS  
ORIGINALLY FILED

Series No.  
10/029,112

Filing Date  
10/19/2001

Examiner

Group Art Unit

Invention: MULTI RECEIVER TAPE CARTRIDGE TRANSPORT SYSTEM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
1/28/02  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

RETURN POSTCARD

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**Q01-1037**

In Re Application Of: **GARIEPY ET AL.**

Serial No.  
**10/029,112**

Filing Date  
**10/19/2001**

Examiner

Group Art Unit

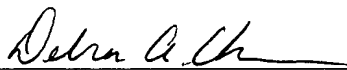
Invention: **MULTI RECEIVER TAPE CARTRIDGE TRANSPORT SYSTEM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$972.00** is to be paid as follows:

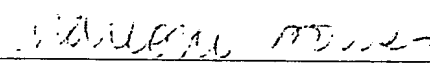
- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0283**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **March 5, 2002**

**DEBRA A. CHUN, REG. NO. 38,700  
DIRECTOR OF INTELLECTUAL PROPERTY  
QUANTUM CORPORATION  
501 SYCAMORE DRIVE  
MILPITAS, CA 95118  
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I certify that this document and fee is being deposited on **03/05/2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

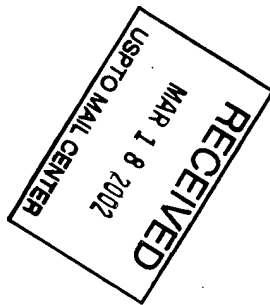
**CHARLOTTE BOWERS**

Typed or Printed Name of Person Mailing Correspondence

CC:

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